EdgeLock A5000 Secure Authenticator for electronic anticounterfeit protection using device-to-device authentication

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Application note

#### **Document information**

Information	Content
Keywords	A5000, mutual authentication, proof of possession
Abstract	This document describes how to leverage A5000 for device-to-device authentication



### **Revision history**

Revision hist	tory	
Revision number	Date	Description
1.0	2022-03-28	Initial version
1.1	2022-09-14	Update <u>Section 4.8.3</u> How to configure the A5000 product specific SCP keys in the Plug & Trust Middleware.

### **1** Device-to-device authentication

The IoT environment increases the exposure of high value components to new security threats. OEM manufacturers need to protect themselves from non-authorized components, discriminate original devices from fake copies, avoid device misuse and over usage, and make sure customers purchase original equipment.

If we do not take security into account, attackers may try to compromise our devices by:

- Exploiting software bugs
- Extracting secret device keys
- Inserting counterfeit devices
- · Abusing untrusted connections
- Disclosing confidential data, etc

These security threats are significantly serious for IoT systems dealing with real time processes, even risking safety in case of medical devices, industrial processes, energy grids or traffic lights automation, among others.

For illustrative purposes, let's assume an OEM which manufactures a certain type of machinery controlled by a centralized control unit as shown in <u>Figure 1</u>. As these machines perform some critical tasks in the manufacturing plant:

- The control unit authenticates the machine that is attempting to connect to it.
- The machines also authenticate the control unit that will manage it.

Therefore, only authenticated machines and control units will be used in the supply chain. This mechanism ensures protection against rogue devices that might damage production, degrading security levels or risking employee safety.



The exchange of digital certificates is the basis of the authentication process. The two parties each check that the certificate is valid and was issued by a trusted authority, known as Certificate Authority. <u>Section 2</u> describes how certificates are verified using a certificate chain of trust.

Digital certificates, as public information, are susceptible to be intercepted and be misused. For this reason, a proof of possession of the certificate private key is an essential requirement to validate the certificate source. <u>Section 3</u> describes how to leverage A5000 to conduct the proof of possession.

The private key must be kept secret and protected. The leakage of any private key compromises the identity verification and the overall system security. The A5000 provides a trust anchor at the silicon level, providing a tamper-resistant platform capable of securely storing keys and credentials needed for offline authentication.

### 2 Certificate chain of trust

IoT requires each device to possess a unique identity. For certificate-based authentication scheme, the identity is made of:

- Device certificate
- Device key pair

The digital certificate binds an identity with a public key. Digital certificates are verified using a chain of trust. The certificate chain of trust is a structure of certificates that enable the receiver to verity that the sender and all CA's are trustworthy. The trust anchor for the digital certificate is the root CA.

Certificates are issued and signed by certificates that reside higher in the certificate hierarchy, so the validity and trustworthiness of a given certificate is determined by the corresponding validity of the certificate that signed it. The certificate chain of trust results in a root CA signing an intermediate CA that in turn signs a leaf certificate as shown in Figure 2



IoT devices manufactured by the OEM should be equipped with a unique key pair and a digital certificate signed by the OEM's CA certificate. The OEM's CA certificate is used to sign all the certificates of the devices manufactured by the OEM. Precisely, this signature provides the means to verify the validity of device certificates in the field (Figure 3).

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Before a machine or control unit manufactured by the OEM goes to the operation phase, they must possess the CA certificate, an individual certificate and a key pair securely stored as shown in Figure 4.



Secure silicon chips like A5000 are capable of internally protecting private keys in IoT devices. The CA certificate could optionally be stored outside the A5000. <u>Section 5</u> outlines the A5000 trust provisioning models available.

### 3 Mutual authentication flow

The authentication flow consists of a mutual authentication procedure. First, the machine will authenticate the control unit that it will be connected to. After that, the control unit will authenticate the machine that attempts to connect.

### 3.1 Control unit authentication

The authentication of the control unit consists of two steps: the *certificate validation* and the private key *proof of possession* as shown in <u>Figure 5</u>.

#### Certificate validation:

The first step is the verification of the control unit digital certificate.

- 1. The control unit sends its device certificate together with its hierarchy of CA certificates.
- 2. The machine validates that the provided certificate chain of trust is valid by verifying the signatures of all the certificates in the chain up to the root CA

If the control unit certificate is valid, it means that the public key included in it can be trusted.

#### Proof of possession:

The second step is the proof of possession. This procedure is needed to make sure that the certificate we verified belongs to the control unit. This proof of possession mechanism ensures that the uploader of the certificate also knows the associated private key. For that,

- 1. The machine generates a random challenge
- 2. The control unit returns the random challenge signed, using its private key stored inside A5000.
- 3. The machine validates the random number signature with the public key obtained from the control unit certificate.

A successful response means that the control unit is authentic. Bear in mind that the trust relies on protecting the private key. For this reason, the use of A5000 is fundamental to make sure the private key is not compromised.

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### 3.2 Machine authentication

The authentication of the machine also consists of two steps: the *certificate validation* and the private key *proof of possession* as shown in <u>Figure 6</u>. These two steps are equivalent to the ones performed for the control unit authentication.

### Certificate validation:

The first step is the verification of the machine digital certificate.

- 1. The machine sends its device certificate together with its hierarchy of CA certificates.
- 2. The control unit validates that the provided certificate chain of trust is valid by verifying the signatures of all the certificates in the chain up to the root CA

If the machine certificate is valid, it means that the public key included in it can be trusted.

#### Proof of possesion:

The second step is the proof of possession. This procedure is needed to make sure that the certificate we received belongs to the machine. This proof of possession mechanism ensures that the uploader of the certificate also knows the associated private key. For that,

- 1. The control unit generates a random challenge
- 2. The machine returns the random challenge signed, using its private key stored inside A5000.
- 3. The control unit validates the random number signature with the public key obtained from the machine certificate

A successful response means that the machine is authentic. Bear in mind that the trust relies on protecting the private key. For this reason, the use of A5000 is fundamental to make sure the private key is not compromised.

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### 4 Evaluating A5000 for anticounterfeit protection

This chapter describes how to evaluate the A5000 Secure Authenticator for anticounterfeit protection using device-to-device authentication. The following description is provided only for demonstration. Therefore, the subsequent procedure must be adapted and adjusted accordingly for commercial deployment.

The Plug Trust Middleware offers out of the box several software libraries to implement and verify a device-to-device authentication on devices running an embedded Linux distribution.

- OpenSSL
- PKCS11
- Plug&Trust Middleware SSS API

The following chapters are demonstrating the principal of the machine and control unit authentication flow based on the theoretical example described in <u>Section 3</u><sup>e</sup>. To simplify the hardware setup a single A5000 Secure Authenticator IC is used.

To keep the example as simple as possible only A5000 pre-provisioned credentials are used to demonstrate the Mutual Authentication.

The examples are divided into the following steps to introduce the A5000 and the Plug&Trust OpenSSL engine and the ssscli tools:

- 1. Section 4.1 Hard- and software setup
- 2. <u>Section 4.2</u> OpenSLL engine overview
- 3. Section 4.3 Plug & Trust Middleware ssscli tool introduction
- 4. Section 4.4 Pre-provisioned A5000 device certificates used by the example
- 5. <u>Section 4.5</u> Retrieve the pre-provisioned A5000 credentials
- 6. <u>Section 4.6</u> Chain of trust of the pre-provisioned device certificates
- 7. Section 4.7 Mutual authentication flow
  - a. <u>Section 4.7.1</u> Control unit authentication
  - b. Section 4.7.2 Machine authentication

The physical I2C connection between the Raspberry Pi and the A5000 Secure Authenticator can be established either in plain or secured (authenticated and encrypted) using the Global Platform Secure Channel Protocol 03 (SCP03). <u>Section 4.8</u> gives a brief overview about Global Platform Secure Channel Protocol 03 and explains how to run the examples using Platform SCP.

How to manage access from multiple Linux processes to the A5000 authenticator application is briefly discussed in  $\underline{Section \ 4.9}$  .

### 4.1 Hard- and software setup

The following hardware is used for this demo as a reference for any other embedded Linux board like the NXP i.MX8:

- Raspberry Pi3 Model B+ or Pi4 Model B
- OM-A5000ARD development kit (<u>NXP 12NC: 935424319598</u>)
- Optional OM-SE050RPI adapter board for Raspberry Pi (NXP 12NC: 935379833598)

The <u>AN12570 "Quick start guide with Raspberry Pi"</u> describes the hardware and software for the NXP SE05x Secure Element. Chapter "3.3. Build EdgeLock SE Plug & Trust

Middleware test examples" describes the CMake settings to build the middleware accessing a SE05x Secure Element.

To build the Plug & Trust Middleware to support the A5000 Secure Authenticator application the following CMake setting needs to be modified before building the middlware:

- Select AUTH for the CMake option PTWM\_Applet.
- Select None for the CMake option PTWM\_FIPS.
- Select 07\_02 for the CMake option PTWM\_SE05X\_Ver.
- Disable the CMake option **SSSFTR\_SE05X\_RSA**.

The project settings can be specified dynamically using the CMake GUI. Figure 7 shows a CMake GUI screenshot with EdgeLock A5000 project settings.

• Run the following commands to update the CMake settings and rebuild the Plug & Trust Middleware:

```
cd ~/se_mw/simw-top_build/raspbian_native_se050_t1oi2c
cmake-gui .
```

Update the CMake settings as explained above. Press first *Configure* and second *Generate* and close the CMake GUI.

```
cmake --build .
sudo make install
sudo ldconfig /usr/local/lib/
```

### **NXP Semiconductors**

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### 4.2 OpenSSL engine overview

OpenSSL is a free software library contains an open-source implementation of the <u>TLS</u> protocols. OpenSSL is available for most Unix-like operating systems (including Linux, macOS, and BSD) and Microsoft Windows.

The OpenSSL software library, written in C, includes a command-line interface for general-purpose cryptography and managing certificates. For simplification the demos below are using the OpenSSL CLI.

Starting with OpenSSL version 0.9.6, a new component called ENGINE, was added to support alternative cryptography implementations. This Engine interface is used by the Plug & Trust Middleware to interface with the A5000. The OpenSSL engine provides the glue between applications using standard OpenSSL APIs and the Secure Authenticator API.



The Plug&Trust middleware OpenSSL engine allows to use the A5000 Secure Authenticator for the following operations:

- EC crypto: EC sign/verify and ECDH compute key
- Fetching random data

The A5000 secure key and object management is not covered by the engine interface but supported by the Plug & Trust Middleware ssscli tool as demonstrated in the next chapters.

OpenSSL requires a key pair, consisting of a private and a public key, to be generated or loaded into the A5000 before the cryptographic operations can be executed.

- **Private Key**: The Private key is securely stored inside the A5000 Secure Authenticator and cannot be retrieved by the OpenSSL engine.
- **Reference Key**: Standard OpenSSL API needs to be called with a key. Instead of a real private key the OpenSSL key data structure gets used with a reference to the private key inside the Secure Authenticator. The reference key looks for OpenSSL like a real key, but it does not contain secret data.

authentication

• **Certificate/Public Key**: The Certificate/Public Key as read from the Secure Element can still be inserted into the OpenSSL key structure.

The A5000 Secure Authenticator can be easily integrated by applications which are already using the OpensSSL API or the command-line tools. Instead of using a private key, the application needs to use a reference key.

For more details, please see the Plug & Trust Middleware documentation:

- 8.1. Introduction on OpenSSL engine
- 5.3.2. AWS Demo for iMX Linux / RaspberryPi
- 5.4.2. OpenSSL Engine: TLS Client example for iMX/Rpi3

Run the following command to check weather OpenSSL is installed or not:

```
openssl version
```

```
pi@raspberrypi:~ $ openssl version
OpenSSL 1.1.1d 10 Sep 2019
pi@raspberrypi:~ $
```

Figure 9. Check the installed OpenSSL version

If OpenSSL is not already installed, you can run the following commands to install it:

```
apt-get install openssl libssl-dev
```

### 4.3 Plug & Trust Middleware ssscli tool introduction

The ssscli is a command line tool that can be used to send commands to A5000 interactively through the command line. For example, you can use the ssscli to create keys and credentials in the A5000 security IC during evaluation, development and testing phases. The ssscli tool is written in Python and supports complex provisioning scripts that can be run in Windows, Linux, OS X and other embedded devices. It can be used to:

- · Insert keys and certificates in DER or PEM format into the A5000
- Retrieve the public keys and certificates form A5000 and store the key into a DER (<u>Distinguished Encoding Rules</u>) or PEM (<u>Privacy Enhanced Mail</u>) formatted file
- · Create reference-keys and store the key into a DER or PEM formatted file
- Delete A5000 (erase) keys and certificates inside
- Generate keys inside the EdgeLock A5000
- · Attach policies to objects
- List all A5000 secure objects
- Retrieve the A5000 device unique ID
- Run some A5000 basic operations like sign/verify and encrypt/decrypt operations

Please refer to the Plug & Trust Middleware documentation chapter "9. CLI Tool" for detailed description how to use ssscli tool. Alternative use the following command to display the ssscli built in help:

```
ssscli --help
```

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```
pi@raspberrypi:~ $ ssscli --help
Usage: ssscli [OPTIONS] COMMAND [ARGS]...
  Command line interface for SE050
Options:
  -v, --verbose Enables verbose mode.
  --version
                Show the version and exit.
                Show this message and exit.
 --help
Commands:
             A71CH specific commands
 a71ch
             (Not Implemented) Cloud Specific utilities.
 cloud
 connect
             Open Session.
 decrypt
             Decrypt Operation
 disconnect Close session.
             Encrypt Operation
 encrypt
 erase
             Erase ECC/RSA/AES Keys or Certificate (contents)
 generate Generate ECC/RSA Key pair
             Get ECC/RSA/AES Keys or certificates
 get
 policy
             Create/Dump Object Policy
             Create Reference PEM/DER files (For OpenSSL Engine).
 refpem
             SE05X specific commands
 se05x
             Set ECC/RSA/AES Keys or certificates
 set
 sian
             Sign Operation
 verify
             verify Operation
pi@raspberrypi:~ $
Figure 10. ssscli help
```

The help includes a parameter description for all supported commands. To list all options for the connect command use:

```
pi@raspberrypi:~ $ ssscli connect --help
Usage: ssscli connect [OPTIONS] subsystem method port_name
Open Session.
subsystem = Security subsystem is selected to be used. Can be one of
"se05x, auth, a71ch, mbedtls, openssl"
method = Connection method to the system. Can be one of "none, sci2c,
vcom, tioi2c, jrcpv1, jrcpv2, pcsc"
port_name = Subsystem specific connection parameters. Example: COM6,
127.0.0.1:8050. Use "None" where not applicable. e.g. SCI2C/TioI2C.
Default i2c port (i2c-1) will be used for port_name = "None".
Options:
--auth_type [None]PlatformSCP]UserID]ECKey|AESKey|UserID_PlatformSCP]ECKey_PlatformSCP|AESKey_PlatformSCP]
Authentication type. Default is "None". Can
be one of "None, UserID, ECKey, AESKey,
PlatformSCP, UserID_PlatformSCP"
--scpkey TEXT
--help
pi@raspberrypi:~ $
Figure 11. ssscli connect help
```

**Note:** The subsystem option *auth* shall be used to define a session with the A5000 authenticator. For the Raspberry Pi the connection method *none* can be used.

The A5000 Secure Authenticator supports also the se05x commands certuid, readidlist, reset and uid.

```
ssscli se05x --help
```

ssscli connect --help

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```
pi@raspberrypi:~ $ ssscli se05x --help
Usage: ssscli se05x [OPTIONS] COMMAND [ARGS]...
SE05X specific commands
Options:
    --help Show this message and exit.
Commands:
    certuid Get SE05X Cert Unique ID (10 bytes)
    readidlist Read contents of SE050
    reset Reset SE05X
    uid Get SE05X Unique ID (10 bytes)
pi@raspberrypi:~ $
Figure 12. ssscli se05x help
```

The following commands will list all A5000 secure objects:

ssscli connect auth tloi2c none ssscli se05x readidlist

pi@raspberrypi:~ \$ s	sscli connect a	uth tioizc none		
pl@raspberrypl:~ \$ s	sscli sevox rea	αιαιιστ		
SSS :INFO :atr (Le	en=35)	F0 00 FF 00 0D	02 50 00	
01 A0 00 00	03 96 04 03	E8 00 FE 02 0B	03 E8 00	
	00 64 13 88	UA UU 05 53 45	30 35 31	
	antin channel	is Dlain		
SSS :WARN :COMMUN	recommended for	is Flduition use II		
Kow Tde OX7do00001		production use.!!	:	
	USEK-ID		Circ(Ditc) + 129	
Key-Id: 0X7da00002	AES NICT D	(Dublic Kov)	Size(Bits): Izo	
Key-Id: 0X7da00003		(Public Key)	Size(Bils): 200	
Key-10: 0X7da00011	USER-ID		Sizo(Pitc), 129	
Key-Id: 0X7da00012	AES NICT D	(Dublic Kov)	Size(Bills); Izo	
Key-Id: 0X7dd00015	NIST-P	(Fublic Key)	Size(Bits); 250	
Key-Id: 0X71110201	NIST-P	(Key Patr)	Size(Bits); 250	
Key-Id: 0X71110202	NIST-P	(Rey Patr)	Size(Bils): 250	
Key-Id: 0X71110204		(Public Key)	Stze(Bits): 250	
Key-Id: 0X71110205			Sizo(Dito), 144	mechine
Key-Id: 0X71110200			5120(BILS): 144	machine
Key-Id: 0X71110207	USER-ID		Sizo(Pitc), 129	credentials
Key-Id: 0X/111020a	ALS NICT D	(Kov Pair)	Size(Bits): 120	ECCIES kov natin 0
Key-10: 0X10000000		(Rey Fall)	Size(Bits), 230	Contificate A
Key-Id: 0X1000001		(Key Pair)	Size(Bits): 256	ECC2E6 kov poin 1
Key-Id: 0X1000002	RTNARY	(Rey Fatt)	Size(Bits): 3760	
Key = Id: 0Xf0000003	NTST-P	(Key Pair)	Size(Bits): 256	Certificate 1
Key = Id: $0X10000012$	NTST-P	(Rey Fact)	Size(Bits), 250	control unit
Key-Id: 0X1000020	AES	(Tubtic Key)	Size(Bits), 250	crodontials
10, 10, 0,10003394	ALU		5128(01137, 200	CIEUCIILIAIS
ni@raspherryni ⋅∾ \$				
p tel dopper i jp et e p				
Figure 12 eccel	readidlict			
Figure 13. SSSCI	reauldlist			

**Note:** If you are not able to connect to the A5000 with an error saying that there is a session already open, run *ssscli se05x disconnect* first.

To close a session use:

ssscli disconnect

### 4.4 Pre-provisioned A5000 device certificates used by the example

Examples described in this document are using the following pre-provisioned credentials listed in the table below.

To be able to demonstrate the principle of machine and control unit authentication flow with a single Raspberry Pi and OM-A5000ARD board the ECC256 key pair 0 (object ID  $0 \times F0000000$ ) and the corresponding certificate 0 (object ID  $0 \times F0000001$ ) are used as "machine" credentials.

As "control unit" credentials the ECC256 key pair 1 (object ID  $0 \times F0000002$ ) and the corresponding certificate 1 (object ID  $0 \times F0000003$ ) are used.

Credentials are assigned to	Key name and type	Certificate	Identifier
Machine	Originality Key 0, ECC256, Die Individual	Certificate 0	0xF0000000 (key) 0xF0000001 (cert)
Control Unit	Originality Key 1, ECC256, Die Individual	Certificate 1	0xF0000002 (key) 0xF0000003 (cert)

 Table 1. Pre-provisioned certificates and keys used by the example

**Note:** The complete list of pre-provisioned device credentials is provided in the A5000 EdgeLock Secure Authenticator Product data sheet.

### 4.5 Retrieve the pre-provisioned A5000 credentials

The ECC private keys are securely stored inside the A5000 secure authenticator and cannot be read out. Standard OpenSSL API and the OpenSSL command-line tools needs to be called with a private key to perform private key operations.

The Plug & Trust Middleware provides an OpenSSL engine which allows to use so called reference keys instead of private keys. A reference key contains only a reference, the object ID, to the private key inside the A5000. The reference key looks for OpenSSL like a real key, but it does not contain secret data. All private ECC operations using a reference key, e.g. ECC signing, are performed securely inside the A5000 without the need to know the private key value.

This chapter demonstrates how to use the ssscli and openssl command-line tools to perform the following operations:

- Reading of the pre-provisioned A5000 device certificates and save them into a PEM formatted file.
- Reading of the pre-provisioned A5000 device certificates public keys and save them into a PEM formatted file.
- Creation of the corresponding reference keys and storage in a PEM-formatted file.

#### 4.5.1 Retrieve the pre-provisioned A5000 device certificates

Create a folder inside your home directory for the example certificates and keys using the following commands:

mkdir ~/auth\_demo

cd ~/auth demo

Run the following <code>ssscli</code> commands to read the device certificates and store them into a file. By default a filename with extension <code>.pem</code> and <code>.cer</code> will store the certificate in PEM format. Other extensions will store the certificate in DER format. In the following examples we use machine.pem and control\_unit.pem as the certificate file names.

cat machine.pem

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```
ssscli get cert F0000001 machine.pem
ssscli get cert F0000003 control unit.pem
pi@raspberrypi:~/auth demo $ ssscli get cert F0000001 machine.pem
Getting Certificate from KeyID = 0xF0000001
      :INF0 :atr (Len=35)
sss
                     03 96 04 03
      01 A0 00 00
                                    E8 00 FE 02
                                                   0B 03 E8 00
      01 00 00 00
                     00 64 13 88
                                    0A 00 65 53
                                                   45 30 35 31
      00 00 00
sss
      :WARN :Communication channel is Plain.
      :WARN :!!!Not recommended for production use.!!!
SSS
Retrieved Certificate from KeyID = 0xF0000001
pi@raspberrypi:~/auth demo $ ssscli get cert F0000003 control unit.pem
Getting Certificate from KeyID = 0xF0000003
      :INFO :atr (Len=35)
sss
      01 A0 00 00
                     03 96 04 03
                                    E8 00 FE 02
                                                   0B 03 E8 00
      01 00 00 00
                     00 64 13 88
                                    0A 00 65 53
                                                   45 30 35 31
      00 00 00
SSS
      :WARN :Communication channel is Plain.
      :WARN :!!!Not recommended for production use.!!!
SSS
Retrieved Certificate from KeyID = 0xF0000003
pi@raspberrypi:~/auth demo $
Figure 14. Retrieve the pre-provisioned A5000 device certificats
```

Both certificates are stored in PEM format. These are text files containing <u>base64</u> encoded data. The Linux command cat can be used to output the contents of a text file:

```
cat control unit.pem
pi@raspberrypi:~/auth demo $ cat machine.pem
----BEGIN CERTIFICATE--
MIIB0TCCAXegAwIBAgIUBABQAYycdabUYr8EMAKJrwAAAAAwCgYIKoZIzj0EAwIw
VjEXMBUGA1UECww0UGx1ZyBhbmQgVHJ1c3QxDDAKBgNVBAoMA05YUDEtMCsGA1UE
AwwkTlhQIEludGVybWVkaWF0ZS1Db25uZWN0aXZpdHlDQXZFMjA2MB4XDTIxMTEx
NjAwMDAwMFoXDTQ2MTExMDAwMDAwMFowXzEXMBUGA1UECww0UGx1ZyBhbmQgVHJ1
c3QxDDAKBgNVBAoMA05YUDE2MDQGA1UEAwwtRGV2Q29ubjAtMDQwMDUwMDE4QzlD
NzVBNkQ0NjJCRjA0MzAwMjg5QUYwMDAwMFkwEwYHKoZIzj0CAQYIKoZIzj0DAQcD
QqAEOMRsLXoVFWBduEHh1QhPKEFdQV22h0XTSYYjTkgiBxHMzrQbmdX0+8EVH41m
84/I4050cnk1bXBB6KxAVcMzQqMaMBgwCQYDVR0TBAIwADALBgNVHQ8EBAMCB4Aw
CgYIKoZIzj0EAwIDSAAwRQIgT/GX4IjvbEsafelESIR0ttTDfz1oZjx4NxCU+3w1
BrwCIQDc+U8amM4QCPJfBya0C5/+LXU9SypEdqs1bLf/ymGs8g==
-----END CERTIFICATE--
pi@raspberrypi:~/auth_demo $ cat control_unit.pem
    --BEGIN CERTIFICATE-
MIIB0jCCAXegAwIBAgIUBABQAYycdabUYr8EMAKJrwAAAAEwCgYIKoZIzj0EAwIw
VjEXMBUGA1UECww0UGx1ZyBhbmQgVHJ1c3QxDDAKBgNVBAoMA05YUDEtMCsGA1UE
AwwkTlhOIEludGVvbWVkaWF0ZS1Db25uZWN0aXZpdHlD0XZFMiA2MB4XDTIxMTEx
NjAwMDAwMFoXDTQ2MTExMDAwMDAwMFowXzEXMBUGA1UECww0UGx1ZyBhbmQgVHJ1
c3QxDDAKBgNVBAoMA05YUDE2MDQGA1UEAwwtRGV2Q29ubjEtMDQwMDUwMDE4QzlD
NzVBNkQ0NjJCRjA0MzAwMjg5QUYwMDAwMFkwEwYHKoZIzj0CAQYIKoZIzj0DAQcD
QgAEBBWwwV9gnuDFrXwmZp/WJv7k78Vv0dmVjPqUsaPAz6AfYjVak+Cmr8CeH0Hq
lU/bCUZFo2yILSOHwEwBTkIgwqMaMBgwCQYDVR0TBAIwADALBgNVHQ8EBAMCB4Aw
CqYIKoZIzj0EAwIDSQAwRqIhAPrFeEOVlYqyI+0fxmm/E4tux+NmUHH05CUAqnPz
zDqzAiEA5HJ3lAY6eQzWgR/0hoXWdZHz9bhwQRGS2n3jqGE4dmU=
   -- END CERTIFICATE-
pi@raspberrypi:~/auth demo $
Figure 15. Device certificats in PEM format
```

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The x509 Openssl command can be used to display the contents of a certificate in human readable form (-text switch). The -noout switch reduces the output by not printing the base64 encoded certificate itself.

```
openssl x509 -noout -text -in machine.pem
pi@raspberrypi:~/auth_demo $ openssl x509 -noout -text -in machine.pem
Certificate:
    Data:
        Version: 3 (0x2)
       Subject Public Key Info:
           Public Key Algorithm: id-ecPublicKey
Public-Key: (256 bit)
               pub:

04:38:c4:6c:2d:7a:15:15:60:5d:b8:41:e1:d5:08:

4f:28:41:5d:41:5d:b6:87:45:d3:49:86:23:4e:48:

02:07:111:cc:ce:b4:1b:99:d5:f4:fb:c1:15:1f:89:
                   66:f3:8f:c8:e0:ee:4e:72:79:35:6d:70:41:e8:ac:
                   40:55:c3:33:42
               ASN1 OID: prime256v1
NIST CURVE: P-256
       X509v3 extensions:
           X509v3 Basic Constraints:
CA:FALSE
   02:21:00:dc:f9:4f:1a:98:ce:10:08:f2:5f:07:26:b4:0b:9f:
         fe:2d:75:3d:4b:2a:44:76:ab:35:6c:b7:ff:ca:61:ac:f2
pi@raspberrypi:~/auth_demo $
Figure 16. Content of the machine certificate
```

openssl x509 -noout -text -in control unit.pem

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#### 4.5.2 Retrieve the pre-provisioned A5000 device certificates public keys

The ECC public keys are required for the ECC verify operation. The ECC public keys can be extracted from the corresponding certificate using the OpenSSL command-line tool or with the help of the ssscli tool. In this chapter the ssscli tool is used.

ssscli get ecc pub --format PEM 0xF0000000 machine\_pub\_key.pem
ssscli get ecc pub --format PEM 0xF0000002
control\_unit\_pub\_key.pem

pi@raspberrypi:~/auth\_demo \$ ssscli get ecc pub --format PEM 0xF0000000 machine\_pub\_key.pem Getting ECC Public Key from KeyID = 0xF0000000 :INFO :atr (Len=35) 01 A0 00 00 03 96 SSS 03 96 04 03 E8 00 FE 02 0B 03 E8 00 01 00 00 00 00 64 13 88 0A 00 65 53 45 30 35 31 00 00 00 :WARN :Communication channel is Plain. SSS SSS :WARN ::Communication channel is Plain. SSS :WARN ::!!!Not recommended for production use.!!! Retrieved ECC Public Key from KeyID = 0xF00000000 pi@raspberrypi:~/auth\_demo \$ ssscii get ecc pub --format PEM 0xF0000002 control\_unit\_pub\_key.pem Getting ECC Public Key from KeyID = 0xF0000002 SSS :INFO :atr (Len=35) 01 A0 00 00 03 96 04 03 E8 00 FE 02 0B 03 E8 00 01 A0 00 00 00 03 96 04 13 28 0A 00 65 53 45 30 35 31 01 00 00 00 00 64 13 88 0A 00 65 53 45 30 35 31 00 00 00 :WARN :Communication channel is Plain. sss sss :WARN :!!!Not recommended for production use.!!!
Retrieved ECC Public Key from\_KeyID = 0xF0000002 pi@raspberrypi:~/auth\_demo \$

Figure 18. Retrieve the pre-provisioned A5000 device certificate's public keys

We use again the Linux command cat to display the both PEM formatted public keys:

cat machine\_pub\_key.pem
cat control unit pub key.pem

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pi@raspberrypi:~/auth\_demo \$ cat machine\_pub\_key.pem ----BEGIN PUBLIC KEY--MFkwEwYHKoZIzj0CAQYIKoZIzj0DAQcDQgAE0MRsLXoVFWBduEHh1QhPKEFdQV22 h0XTSYYjTkgiBxHMzrQbmdX0+8EVH4lm84/I4050cnk1bXBB6KxAVcMzQg== ----END PUBLIC KEYpi@raspberrypi:~/auth demo \$ cat control unit pub key.pem ---BEGIN PUBLIC KEY-MFkwEwYHKoZIzj0CAQYIKoZIzj0DAQcDQgAEBBWwwV9gnuDFrXwmZp/WJv7k78Vv 0dmVjPqUsaPAz6AfYjVak+Cmr8CeH0HqlU/bCUZFo2yILS0HwEwBTkIgwg== ----END PUBLIC KEYpi@raspberrypi:~/auth demo \$ Figure 19. Device public keys in PEM format The x509 OpenSSL command also supports to display the public keys contents: openssl ec -pubin -in machine pub key.pem -text openssl ec -pubin -in control unit pub key.pem -text pi@raspberrypi:~/auth\_demo \$ openssl ec -pubin -in machine\_pub\_key.pem -text read EC key Public-Key: (256 bit) pub: 04:38:c4:6c:2d:7a:15:15:60:5d:b8:41:e1:d5:08: 4f:28:41:5d:41:5d:b6:87:45:d3:49:86:23:4e:48: 22:07:11:cc:ce:b4:1b:99:d5:f4:fb:c1:15:1f:89: 66:f3:8f:c8:e0:ee:4e:72:79:35:6d:70:41:e8:ac: 40:55:c3:33:42 ASN1 OID: prime256v1 NIST CURVE: P-256 writing EC key -----BEGIN PUBLIC KEY-----MFkwEwYHKoZIzj0CAQYIKoZIzj0DAQcDQgAE0MRsLXoVFWBduEHh1QhPKEFdQV22 h0XTSYYjTkgiBxHMzrQbmdX0+8EVH4lm84/I4050cnk1bXBB6KxAVcMzQg= ----END PUBLIC KEYpi@raspberrypi:~/auth\_demo \$ openssl ec -pubin -in control\_unit\_pub\_key.pem -text read EC key Public-Key: (256 bit) pub: 04:04:15:b0:c1:5f:60:9e:e0:c5:ad:7c:26:66:9f: d6:26:fe:e4:ef:c5:6f:d1:d9:95:8c:fa:94:b1:a3: c0:cf:a0:1f:62:35:5a:93:e0:a6:af:c0:9e:1c:e1: ea:95:4f:db:09:46:45:a3:6c:88:2d:23:87:c0:4c: 01:4e:42:20:c2 ASN1 OID: prime256v1 NIST CURVE: P-256 writing EC key ----BEGIN PUBLIC KEY----MFkwEwYHKoZIzi0CAQYIKoZIzi0DAQcDQqAEBBWwwV9qnuDFrXwmZp/WJv7k78Vv OdmVjPqUsaPAz6AfYjVak+Cmr8CeHOHqlU/bCUZFo2yILSOHwEwBTkIgwg= -END PUBLIC KEYpi@raspberrypi:~/auth demo \$

Figure 20. Content of the device public keys

### 4.5.3 Create the reference key files for the OpenSSL engine

As already described above, the ECC private keys are securely stored inside the A5000 and cannot be read out like the public certificate or public key. To be able to delegate a private crypto operation like an ECC signature generation to the A5000 we need to generate a reference key. Later we use the reference key instead of the private key for OpenSSL operations.

The following two commands are generating a "machine" and "control unit" reference key.

ssscli refpem ecc pair 0xF0000000 machine\_ref\_key.pem
ssscli refpem ecc pair 0xF0000002 control unit ref key.pem

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pi@raspberrypi:~/auth\_demo \$ ssscli refpem ecc pair 0xF0000000 machine\_ref\_key.pem :INFO :atr (Len=35) 01 A0 00 00 03 96 04 03 01 00 00 00 00 64 13 88 SSS E8 00 EE 02 0B 03 E8 00 45 30 35 31 0A 00 65 53 00 00 00 ss :WARN :Communication channel is Plain. sss :WARN :Communication channel is Plain. sss :WARN :!!!Not recommended for production use.!!! Created reference key for ECC Pair from KeyID = 0xF00000000 pi@raspberrypi:~/auth\_demo \$ ssscli refpem ecc pair 0xF0000002 control\_unit\_ref\_key.pem sss :INF0 :atr (Len=35) sss :INF0 :atr (Len=35) 01 A0 00 00 01 00 00 00 00 00 00 03 96 04 03 00 64 13 88 0B 03 E8 00 45 30 35 31 E8 00 FE 02 0A 00 65 53 sss :WARN :Communication channel is Plain. sss :WARN :!!!Not recommended for production use.!!! Created reference key for ECC\_Pair from KeyID = 0xF0000002 pi@raspberrypi:~/auth\_demo \$

Figure 21. Create the reference key files for the OpenSSL engine

cat machine ref key.pem

The ssscli commands above are storing the reference keys in PEM format.

In the first glance, the reference key looks like as any other private key, therefore it is required to use OpenSSL to display the details:

openssl ec -in machine\_ref\_key.pem -text
openssl ec -in control\_unit\_ref\_key.pem -text

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```
pi@raspberrypi:~/auth demo $ openssl ec -in machine ref key.pem -text
read EC key
Private-Key: (256 bit)
priv:
   00:00:00:f0:00:00:00;a5:a6:b5:b6:a5:a6:b5:b6:
   10:00
            machine private ECC key - object ID = 0xF0000000
pub:
   04:38:c4:6c:2d:7a:15:15:60:5d:b8:41:e1:d5:08:
   4f:28:41:5d:41:5d:b6:87:45:d3:49:86:23:4e:48:
   22:07:11:cc:ce:b4:1b:99:d5:f4:fb:c1:15:1f:89:
   66:f3:8f:c8:e0:ee:4e:72:79:35:6d:70:41:e8:ac:
   40:55:c3:33:42
ASN1 OID: prime256v1
NIST CURVE: P-256
writing EC key
   -BEGIN EC PRIVATE KEY-----
MHcCAQEEIBAAAAAAAAAAAAAAAAAAAAAAAAAAAAAClprW2paa1thAAoAoGCCqGSM49
AwEHoUQDQgAE0MRsLXoVFWBduEHh1QhPKEFdQV22h0XTSYYjTkgiBxHMzrQbmdX0
+8EVH41m84/I4050cnk1bXBB6KxAVcMzQg==
  ---END EC PRIVATE KEY--
pi@raspberrypi:~/auth demo $ openssl ec -in control unit ref key.pem -text
read FC key
Private-Key: (256 bit)
priv:
   00:00:00:f0:00:02:a5:a6:b5:b6:a5:a6:b5:b6:
   10:00
            control unit private ECC key - object ID = 0xF0000002
pub:
   04:04:15:b0:c1:5f:60:9e:e0:c5:ad:7c:26:66:9f:
   d6:26:fe:e4:ef:c5:6f:d1:d9:95:8c:fa:94:b1:a3:
   c0:cf:a0:1f:62:35:5a:93:e0:a6:af:c0:9e:1c:e1:
   ea:95:4f:db:09:46:45:a3:6c:88:2d:23:87:c0:4c:
   01:4e:42:20:c2
ASN1 OID: prime256v1
NIST CURVE: P-256
writing EC key
   -BEGIN EC PRIVATE KEY-----
MHcCAQEEIBAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAKlprW2paa1thAAoAoGCCqGSM49
AwEHoUQDQgAEBBWwwV9gnuDFrXwmZp/WJv7k78Vv0dmViPgUsaPAz6AfYiVak+Cm
r8CeH0HqlU/bCUZFo2yILS0HwEwBTkIgwg==
----END EC PRIVATE KEY----
pi@raspberrypi:~/auth demo $
Figure 23. Content of the reference private keys
```

Instead of a real private ECC device key the reference key contains mainly the A5000 private key object ID. The remaining bytes are containing a 64-bit "magic number" (always 0xA5A6B5B6A5A6B5B6). The Plug & Trust Middleware documentation provides a detailed description of the reference key format.

The NXP OpenSSL engine uses this "magic number" to distinguish a reference key from a real private key. In case a reference key is passed to the OpenSSL API or commandline tool the NXP OpenSSL engine will invoke the A5000 to perform the private crypto operation.

### 4.6 Chain of trust of the pre-provisioned device certificates

A certificate is a digital document that contains a public key and additional information about the entity associated with it. A certificate also includes a digital signature from the certificate issuer. In case of the pre-provisioned A5000 certificates the certification issuer is NXP. The image below shows the complete certification chain of the pre-provisioned device certificates.

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Figure 24. Certification chain of the pre-provisioned A5000 device certificates

All pre-provisioned A5000 device certificates are signed with the associated private key of the NXP intermediate certificate. To verify the validity of the pre-provisined device certificates we need to download the intermediate certificate.

The NXP intermediate certificate can be downloaded via the following link: <u>https://www.gp-ca.nxp.com/CA/getCA?caid=63709315060022</u>.

The Linux command wegt can be used to download the NXP intermediate certificate. The -O parameter is used to specify the filename.

wget https://www.gp-ca.nxp.com/CA/getCA?caid=63709315060022 -0
nxp\_a5000\_intermediate\_ca.crt



The file nxp\_a5000\_intermediate\_ca.crt contains NXP intermediate certificate in DER format. For the following OpenSSL command-line examples it is required to convert the certificate into the PEM formatted certification file (nxp\_a5000\_intermediate\_ca.pem). This step can be performed using the following OpenSSL command:

```
openssl x509 -in nxp_a5000_intermediate_ca.crt -inform der -out nxp_a5000_intermediate_ca.pem -outform pem
```

cat nxp a5000 intermediate ca.pem

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### 4.7 Mutual authentication flow

As already described in <u>Section 3</u> the authentication flow consists of a mutual authentication procedure. First, the machine will authenticate the control unit. If the machine was successfully authenticated, the control unit will authenticate the machine.

### 4.7.1 Control unit authentication

The authentication of the control unit consists of two steps:

- Step 1: Control unit device certificate validation
- Step 2: Proof of control unit private key possession

The example below will demonstrate the basic principle of the control unit authentication flow as show in the figure below using the OpenSSL command-line tools .



#### 4.7.1.1 Step 1: Control unit device certificate validation

The first step the control unit sends the control unit certificate (control\_unit.pem) to the machine for validating the certificate. The OpenSSL verify command-line tools allows the validation of a certification chain. It is required to provide OpenSSL the NXP A5000 root CA and the NXP A5000 intermediate CA and the A5000 device certificate to be validated:

openssl verify -CAfile nxp\_a5000\_root\_ca.pem -untrusted
nxp\_a5000\_intermediate\_ca.pem control\_unit.pem

pi@raspberrypi:~/auth\_demo \$ openssl verify -CAfile nxp\_a5000\_root\_ca.pem -untrusted nxp\_a5000\_intermediate\_ca.pem control\_unit.pem control\_unit.pem: 0K pi@raspberrypi:~/auth\_demo \$ ||

Figure 30. OpenSSL - Verify control unit device certificate

**Note:** We assume the NXP root and intermediate CA are already stored in the machine and control unit.

**Note:** To simplify the example we do not use the A5000 for validating the control unit certificate, because the keys of the NXP root and intermediate CA are not stored inside the A5000 device.

The control unit certificate is valid in case OpenSSL returns OK. This also means, that the public key included in the control unit certificate can be trusted.

4.7.1.2 Step 2: Proof of control unit private key possession

In this step, the control unit must prove that it is in possession of the ECC private key.

- For this purpose, the machine uses the A5000 to generate a 16-byte random number and sends this number to control unit.
- Next the control unit uses A5000 to sign the received random number, using the private ECC key securely stored inside the A5000.
- The ECC signature (signed random number) is returned to the machine.
- The machine verifies the signature with the control unit public key. The control unit is authenticated in case of successful signature verification.

The following OpenSSL command generates 8-byte random number in HEX format. Because we did not specify to use the A5000 OpenSSL engine so random numbers are generated by OpenSSL in software.

```
openssl rand -hex 8
```

```
pi@raspberrypi:~/auth_demo $ openssl rand -hex 8
7e07d9f05341e446
pi@raspberrypi:~/auth_demo $
```

Figure 31. OpenSSL - Random numbers generated by OpenSSL in software

The Plug & Trust Middleware supports the A5000 Secure Authenticator and the SE05x Secure Element. Both product families are using the same API and the same OpenSSL engine. The NXP Plug & Trust middleware OpenSSL engine is located in the following directory:

/usr/local/lib/libsss engine.so

The corresponding OpenSSL configuration file is located in:

~/se mw/simw-top/demos/linux/common/openssl sss se050.cnf

With the help of following the Linux command we can display the relevant default setting:

tail ~/se\_mw/simw-top/demos/linux/common/openssl11\_sss\_se050.cnf
-n 12

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```
pi@raspberrypi:~/auth_demo $ tail ~/se_mw/simw-top/demos/linux/common/openssl11_sss_se050.cnf -n 12
[nxp_engine]
engines = engine_section
[engine_section]
e4sss_se050 = e4sss_se050_section
[e4sss_se050_section]
engine_id = e4sss
dynamic_path = /usr/local/lib/libsss_engine.so
init = 1
default_algorithms = RAND,RSA,EC
pi@raspberrypi:~/auth_demo $
Figure 32. Plug & Trust Middleware OpenSSL engine default configuration
```

**Note:** The A5000 does not support RSA, there it is recommended to remove the entry RSA from the default algorithmus entry.

We can keep the default settings unmodified. To overrule the default OpenSSL configuration, we can temporally assign the path to the openssl11\_sss\_se050.cnf file by setting the Linux environment variable OPENSSL\_CONF. This step is performed with the help of the shell's export command.

```
export OPENSSL_CONF=~/se_mw/simw-top/demos/linux/common/
openssl11 sss se050.cnf
```

Now we can use the same OpenSSL command to delegate the random numbers generation to the A5000. The console output includes P&T MW default log messages.

```
openssl rand -hex 8
```

```
pi@raspberrypi:~/auth_demo $ export OPENSSL_CONF=~/se_mw/simw-top/demos/linux/common/openssl11_sss_se050.cnf
pi@raspberrypi:~/auth_demo $ openssl rand -hex 8
ssse=flw: EmbSe_Init(): Entry
App :INF0 :if you want to over-ride the selection, use ENV=EX_SSS_BOOT_SSS_PORT or pass in command line arguments.
sss :INF0 :itr (Len=35)
        01 A0 00 00 03 96 64 03 E8 00 FE 02 0B 03 E8 00
        01 00 00 00 00 64 13 88 0A 00 65 53 45 30 35 31
        00 00 00
sss :WARN :Communication channel is Plain.
sss :WARN :!!!Not recommended for production use.!!!
ssse=flw: Wersion: 1.0.5
ssse=flw: EmbSe_Init(): Exit
ssse=flw: EmbSe_Fand invoked requesting 8 random bytes
<u>Zbedc125612C09eD</u> random numbers generated by A5000
ssse=flw: EmbSe_Finish(): Entry
pi@raspberrypi:~/auth_demo $ ______
```

Figure 33. OpenSSL - Random number generated by A5000

Next in our example, the machine generates a 256-byte random number and stores it into a text file. The random number is send to the control unit.

openssl rand -out machine\_random.txt -hex 256

cat machine random.txt

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pi@raspberrypi:~/auth\_demo \$ openssl rand -out machine\_random.txt -hex 256 sse-flw: EmbSe\_Init(): Entry App :INFO :If you want to over-ride the selection, use ENV=EX\_SSS\_BOOT\_SSS\_PORT or pass :INFO :atr (Len=35) 01 A0 00 00 03 9 SSS 03 96 04 03 E8 00 FE 02 0B 03 E8 00 01 00 00 00 00 64 13 88 0A 00 65 53 45 30 35 31 00 00 00 SSS :WARN :Communication channel is Plain. :WARN :!!!Not recommended for production use.!!! sss ssse-flw: Version: 1.0.5 ssse-flw: EmbSe\_Init(): Exit ssse-flw: EmbSe\_Rand invoked requesting 256 random bytes ssse-flw: EmbSe\_Finish(): Entry ssse-flw: EmbSe\_Finish(): Exit ssse-flw: EmbSe\_Destroy(): Entry
pi@raspberrypi:~/auth\_demo \$ cat machine\_random.txt
ef6021c9a6d1c54284bd3823ee8f7e6d885c36873094b3104960577e3b5464b94f0fe117b27d376d24e8f4ba77 bdc443a61535bf80e1717f3d780fa712796128aba8fa91acc7124a3d88fecd33afb9a27f7ce8eac51882b21d36 1d8adfc539408ca04b97ee467421efee30b31df05f36eb8704934f97447bdae408d3173a34f4a3b1751d3a7a83 pi@raspberrypi:~/auth demo \$

Figure 34. OpenSSL - A5000 random numbers are stored in a text file

The control unit uses the A5000 to generate the ECC signature using standard OpenSSL commands. This is performed by providing a control unit reference key (control\_unit\_ref\_key.pem) instead of a private key. The signature is stored in the sig\_machine\_random.sha256 in binary format.

```
openssl dgst -sha256 -sign control_unit_ref_key.pem -out
control unit signature.sha256 machine random.txt
```



Figure 35. OpenSSL - The A5000 signs the random numbers with the private ECC key stored inside the A5000

We can use the following Linux command to display the binary signature value.

xxd -c 16 -g 1 -u control\_unit\_signature.sha256

pi@raspberrypi:~/auth\_demo \$ xxd -c 16 -g 1 -u control\_unit\_signature.sha256 000000000: 30 45 02 20 76 D0 FE B1 39 04 A4 62 E4 A4 93 2B 0E. v...9..b...+ 00000010: E4 54 43 2F 55 8A 22 D1 44 B3 36 8E FB 10 DB 61 .TC/U.".D.6....a 00000020: F7 F4 60 B1 02 21 00 EC AF EE CE D6 3B 29 72 62 .....;)rb 00000030: 0C 8B D0 EB DC 25 0E 8B 82 44 EE D2 BA F2 4C B3 ....%..D...L. 00000040: A8 2A F4 34 48 7F 10 ..... pi@raspberrypi:~/auth\_demo \$

Figure 36. Control unit signature

The machine extracts the unique control unit public key from certificate using the following OpenSSL command:

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The control unit is authenticated in case OpenSSL returns Verified OK.

#### 4.7.2 Machine authentication

The authentication of the machine also consists of two steps. In principle the steps are vice versa compared to the control unit authentication. The steps are briefly demonstrated for completeness:

- Step 1: Machine certificate validation
- · Step 2: Proof of machine private key possession

The example below will demonstrate the basic principle of the machine authentication flow as shown in the figure below using the OpenSSL command-line tools .

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#### 4.7.2.1 Step 1: Machine device certificate validation

The first step the machine sends the machine certificate (machine.pem) to the control unit for validating the certificate. We use again the OpenSSL verify command-line tools to validate the certification chain.

openssl verify -CAfile nxp\_a5000\_root\_ca.pem -untrusted nxp a5000 intermediate ca.pem machine.pem

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**Note:** We assume the NXP root and intermediate CA are already stored in the machine and control unit.

**Note:** To simplify the example we do not use the A5000 for validating the machine certificate, because the keys of the NXP root and intermediate CA are not stored inside the A5000 device.

The machine certificate is valid in case OpenSSL returns OK. This also means, that the public key included in the machine certificate can be trusted.

#### 4.7.2.2 Step 2: Proof of control unit private key possession

In this step, the machine must prove that it is in possession of the ECC private key.

*Note:* We assume the Linux environment variable <code>OPENSSL\_CONF</code> was already set as described in <u>Section 4.7.1.2</u>.

The control unit generates a 256-bytes random number and stores it into a text file. The random number is sent to the machine.

openssl rand -out control\_unit\_random.txt -hex 256 cat control unit random.txt

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pi@raspberrypi:~/auth demo \$ openssl rand -out control unit random.txt -hex 256 ssse-flw: EmbSe\_Init(): Entry App :INF0 :If you want to over-ride the selection, use ENV=EX\_SSS\_BOOT\_SSS\_PORT or pass sss :INFO :atr (Len=35) 01 A0 00 00 03 96 04 03 F8 00 FF 02 0B 03 E8 00 01 00 00 00 00 64 13 88 0A 00 65 53 45 30 35 31 00 00 00 :WARN :Communication channel is Plain. SSS :WARN :!!!Not recommended for production use.!!! SSS ssse-flw: Version: 1.0.5 ssse-flw: EmbSe\_Init(): Exit ssse-flw: EmbSe\_Rand invoked requesting 256 random bytes ssse-flw: EmbSe\_Finish(): Entry ssse-flw: EmbSe\_Finish(): Exit ssse-flw: EmbSe\_Destroy(): Entry pi@raspberrypi:~/auth\_demo \$ cat control\_unit\_random.txt 83ce8d10ee8b20ac3b81562a60597d9066021b906367810b9be319b5e5bb988675f4fce5c74afa1ebbd1b5b210 cce0da92af3b3b9bb9b22a732635ba2cd88854ff41a5a51afce84b56636861a09eef698a83f7c2da4741855329 576a1b8fc984f5ec0ed987784ca13aadb439e99cff547be440cccc8b3d9cef19c2a0cb6fd8a49f527b3b154d94 pi@raspberrypi:~/auth demo \$

Figure 40. OpenSSL - A5000 random numbers are stored in a text file

The machine uses the A5000 to generate the ECC signature. This is performed by providing a machine reference key (machine\_ref\_key.pem) instead of a private key. The signature is stored in the sig control unit random.sha256 in binary format.

openssl dgst -sha256 -sign machine\_ref\_key.pem -out
mashine signature.sha256 control unit random.txt



Figure 41. OpenSSL - The A5000 signs the random numbers with the private ECC key stored inside the A5000

We can use the following Linux command to display the binary signature value.

xxd -c 16 -g 1 -u mashine signature.sha256

pi@raspberrypi:~/auth\_demo \$ xxd -c 16 -g 1 -u mashine\_signature.sha256 000000000: 30 44 02 20 1A D9 1F 44 D6 87 27 22 5B 7D E9 31 0D. ...D..'"[}.1 00000010: A3 46 3A 5D B2 95 E2 22 B9 0F 07 AC 10 6D 34 0D .F:]..."....m4. 00000020: 09 16 FA 4E 02 20 67 E8 FF AB ED 74 62 8A CF DE ...N. g...tb... 00000030: BB 2E AF EF BE 93 2D 81 03 75 26 56 0A 99 7B 20 .....-.u&V..{ 00000040: 65 19 74 5C DD 21 e.t\.! pi@raspberrypi:~/auth\_demo \$ Figure 42. Machine signature

The control unit extracts the unique machine public key from certificate using the following OpenSSL command:

openssl x509 -in machine.pem -pubkey -noout > machine pub.pem

AN13500

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The machine is authenticated in case OpenSSL returns Verified OK.

### 4.8 Binding A5000 to a host MCU/MPU using Platform SCP

Binding is a process to establish a pairing between the IoT device host MPU/MCU and A5000, so that only the paired MPU/MCU is able to use the services offered by the corresponding A5000 and vise versa.

A mutually authenticated, encrypted channel will ensure that both parties are indeed communicating with the intended recipients and that local communication is protected against local attacks, including man-in-the-middle attacks aimed at intercepting the communication between the MPU/MCU and the A5000 and physical tampering attacks aimed at replacing the host MPU/MCU or A5000.

A5000 natively supports Global Platform Secure Channel Protocol 03 (SCP03) for this purpose. PlatformSCP uses SCP03 and can be enabled to be mandatory.

This chapter describes the required steps to enable Platform SCP in the middlware for A5000.

The following topics are discussed:

- Section 4.8.1 Introduction to the Global Platform Secure Channel Protocol 03 (SCP03)
- Section 4.8.2 How to enable Platform SCP in the Plug & Trust Middleware
- <u>Section 4.8.3</u> How to configure the A5000 product specific SCP keys in the Plug & Trust Middleware

#### 4.8.1 Introduction to the Global Platform Secure Channel Protocol 03 (SCP03)

The Secure Channel Protocol SCP03 authenticates and protects locally the bidirectional communication between host and A5000 against eavesdropping on the physical I2C interface.

A5000 can be bound to the host by injecting in both the host and A5000 the same unique SCP03 AES key-set and by enabling the Platform SCP feature in the Plug & Trust Middleware. The <u>AN12662</u> *Binding a host device to EdgeLock SE05x* describes in detail the concept of secure binding.

SCP03 is defined in <u>Global Platform Secure Channel Protocol '03' - Amendment D v1.2</u> specification.

SCP03 can provide the following three security goals:

- Mutual authentication (MA)
  - Mutual authentication is achieved through the process of initiating a Secure Channel and provides assurance to both the host and the A5000 entity that they are communicating with an authenticated entity.
- Message Integrity
  - The Command- and Response-MAC are generated by applying the CMAC according to NIST SP 800-38B.
- Confidentiality
  - The message data field is encrypted across the entire data field of the command message to be transmitted to the A5000, and across the response transmitted from the A5000.

The SCP03 secure channel is set up via the A5000 authenticator application using the standard ISO7816-4 secure channel APDUs.

The establishment of an SCP03 channel requires three static 128-bit AES keys shared between the two communicating parties: Key-ENC, Key-MAC and Key-DEK.

Key-ENC and Key-MAC keys are used during the SCP03 channel establishment to generate the session keys. Session Keys are generated to ensure that a different set of keys are used for each Secure Channel Session to prevent replay attacks.

Key-ENC is used to derive the session key S-ENC. The S-ENC key is used for encryption/decryption of the exchanged data. The session keys S-MAC and R-MAC are derived from Key-MAC and used to generate/verify the integrity of the exchanged data (C-APDU and R-APDU).

Key-DEK key is used to encrypt new SCP03 keys in case they get updated.

Key	Description	Usage	Кеу Туре
Key-ENC	Static Secure Channel Encryption Key	Generate session key for Decryption/ Encryption (AES)	AES 128
Кеу-МАС	Static Secure Channel Message Authentication Code Key	Generate session key for Secure Channel authentication and Secure Channel MAC Verification/Generation (AES)	AES 128
Key-DEK	Data Encryption Key	Sensitive Data Decryption (AES)	AES 128

Table 2. Static SCP03 keys

The session key generation is performed by the Plug & Trust Middleware host crypto.

Application note

Table 3. SCP03	session keys
----------------	--------------

Key	Description	Usage	Кеу Туре
S-ENC	Session Secure Channel Encryption Key	Used for data confidentiality	AES 128
S-MAC	Secure Channel Message Authentication Code Key for Command	Used for data and protocol integrity	AES 128
S-RMAC	Secure Channel Message Authentication Code Key for Response	User for data and protocol integrity	AES 128

Note: For further details please refer to Global Platform Secure Channel Protocol '03' -Amendment D v1.2.



Figure 44. SPC03 mutual authentication - principle



#### 4.8.2 How to enable Platform SCP in the Plug & Trust Middleware

To enable Platform SCP it is required to rebuild the Plug & Trust Middleware with the following CMake setting:

- Select SCP03\_SSS for the CMake option PTWM\_SCP.
- Select *PlatfSCP03* for the CMake option *PTWM\_SE05X\_Auth*.

The project settings can be specified dynamically using the CMake GUI. The figure below shows a CMake GUI screenshot with the required project settings.

ere is the source code: /home/pi/se_mw/simw-top		Browse Source
ere to build the binaries: /home/pi/se_mw/simw-top_build/rasp	bian_native_se050_t1oi2c	Browse <u>B</u> uild
rch:	Grouped Advanced 🖶 Add En	try 🛛 🗱 <u>R</u> emove Ent
me	Value	
IAKE_BUILD_TYPE	Debug	
	/USF/IOCAI /USF/lib/arm_linux_gnueabibf/libanl.co	
Pinternal	/usi/iib/arm-imux-grideabim/iibam.so	
ENSSL_ROOT_DIR		
HO_BUILD_DEB_PACKAGE	and the second secon	
HO_BUILD_DOCUMENTATION	an an an <mark>-</mark> an	
HO BUILD SHARED	<b>√</b>	
HO_BUILD_STATIC	an an an 🗖 an	
HO_ENABLE_CPACK	<u>√</u>	
HO_ENABLE_TESTING	V.	
MW A71CH AUTH	None	
MW_Applet	AUTH	
MW_FIPS	None	
MW_Host	Raspbian	
MW Log	Default	
MW_LOG MW_RTOS	Default	
MW_SBL	None	
MW_SCP	SCP03_SSS	
MW_SE05X_Auth	PlatfSCP03	
MW_SECSX_Ver	07_02 T10/2C	
MW mbedTLS ALT	None	
SFTR_SE05X_ÄES	✓	
SFTR_SE05X_AuthECKey	✓	
	V	
SFTR_SEUSX_CREATE_DELETE_CRTPTOOBJ	×.	
SFTR SE05X KEY GET	V	
SFTR_SE05X_KEY_SET	✓	
SFTR_SE05X_RSA	and a second	
SFTR_SW_AES	V .	
SFTR_SW_ECC	v v	
SFTR SW KEY SET	V	
SFTR_SW_RSA	✓	
SFTR_SW_TESTCOUNTERPART	<u>✓</u>	
InCodeCoverage	and the second secon	
HEXCOSCINE INCODE		
Press Configure to update and display new	values in red, then press Generate to generate selected build his	es.
onfigure <u>Generate</u> Open Project Current Generator: Uni	x Makefiles	
<b>A A</b>		

Figure 46. A5000 CMake options to enable Platform SCP

Run the following commands to update the CMake settings and rebuild the Plug & Trust Middleware:

cd ~/se\_mw/simw-top\_build/raspbian\_native\_se050\_t1oi2c

```
cmake-gui .
```

Update the CMake settings as explained above. Press first the *Configure* button and second the *Generate* button and close the CMake GUI.

```
cmake --build .
sudo make install
sudo ldconfig /usr/local/lib/
```

**Note:** The <u>AN12570 "Quick start guide with Raspberry Pi"</u> describes how to build the Plug & Trust Middleware in detail (see chapter 3.3. Build EdgeLock SE Plug & Trust Middleware test examples).

# 4.8.3 How to configure the A5000 product specific SCP keys in the Plug & Trust Middleware

A5000 is delivered with the default A5000 Platform SCP keys as shown in the table below.

 Table 4.
 128-bit AES Default Platform SCP keys

Configuration	ENC (hex)	MAC (hex)	DEK (hex)
A5000R	c9118500b5ffa143	29d2fe28f7feeb15	6124d38402118060
	3a50226f489a0aa5	3068be381f61bc01	ed910360fc5a4278

By default the Plug & Trust Middleware is configured with default Platform SCP keys for a different product. Therefore, it is required to change the default settings. For evaluation purpose the MW supports to store the Platform SCP key in a plain text file. For further details see Plug & Trust Middleware documentation chapter *11.10 Using own Platform SCP03 keys*.

In this example we use the Linux environment variable EX\_SSS\_BOOT\_SCP03\_PATH to define the Platform SCP key textfile (filename and location).

The following Linux commands can be used to create the Platform SCP key file (a5000\_scp\_keys.txt):

echo ENC c9118500b5ffa1433a50226f489a0aa5 > a5000\_scp\_keys.txt
echo MAC 29d2fe28f7feeb153068be381f61bc01 >> a5000\_scp\_keys.txt
echo DEK 6124d38402118060ed910360fc5a4278 >> a5000\_scp\_keys.txt
Check the a5000\_scp\_keys.txt file content:

```
cat a5000_scp_keys.txt
```

```
pi@raspberrypi:~/auth_demo $ echo ENC c9118500b5ffa1433a50226f489a0aa5 > a5000_scp_keys.txt
pi@raspberrypi:~/auth_demo $ echo MAC 29d2fe28f7feeb153068be381f61bc01 >> a5000_scp_keys.txt
pi@raspberrypi:~/auth_demo $ echo DEK 6124d38402118060ed910360fc5a4278 >> a5000_scp_keys.txt
pi@raspberrypi:~/auth_demo $ cat a5000_scp_keys.txt
ENC c9118500b5ffa1433a50226f489a0aa5
MAC 29d2fe28f7feeb153068be381f61bc01
DEK 6124d38402118060ed910360fc5a4278
pi@raspberrypi:~/auth_demo $
```

Figure 47. A5000Platform SCP plain text key file

**Note:** In this example the Raspberry Pi is used for evaluation purpose only. Because different host MCU/MPU platforms are providing different hardware security mechanisms

> to protect keys it is not in the scope of this document to demonstrate how to store the Platform SCP shared binding keys securely. For commercial deployment the secure storage of Platform SCP keys must be adapted accordingly.

> In the next step we can verify if we successfully configured the environment to support Platform SCP. For this purpose we use again the OpenSSL command rand and delegate the random number generation to A5000.

export OPENSSL\_CONF=~/se\_mw/simw-top/demos/linux/common/ openssl11\_sss\_se050.cnfexport EX\_SSS\_BOOT\_SCP03\_PATH=~/ device\_to\_device\_auth\_demo/a5000\_scp\_keys.txt

```
openssl rand -hex 8
```

Different to the examples in the previous chapters the bidirectional communication between host and A5000 is protected with Platform SCP.



The Plug & Trust Middleware provides the following additional examples to rotate the PlatformSCP Keys and to mandate Platform SCP.

- SE05X Rotate PlatformSCP Keys example: Showcases authentication with default Platform SCP keys and the rotation (update) of those keys with user defined keys. The example documentation is available in the EdgeLock SE05x Plug & Trust Middleware documentation (simw-top/doc/demos/se05x/se05x\_RotatePlatformSCP03Keys/Readme.html). The example source code is available at /simw-top/demos/se05x\_RotatePlatformSCP03Keys.
- SE05X Mandate SCP example: Showcases how to make Platform SCP authentication mandatory in EdgeLock SE05x. The example documentation is available in the EdgeLock SE05x Plug & Trust Middleware documentation (/simw-top/doc/demos/ se05x/se05x\_MandatePlatformSCP/Readme.html). The example source code is available at /simw-top/demos/se05x/se05x\_MandatePlatformSCP.
- SE05x AllowWithout PlatformSCP example: This project demonstrates how to configure SE05X to allow without platform SCP. The example documentation is available in the EdgeLock SE05x Plug & Trust Middleware documentation (~/ se\_mw/simw-top/doc/demos/se05x/se05x\_AllowWithoutPlatformSCP/ Readme.html). The example source code is available at ~/se\_mw/simw-top/ demos/se05x/se05x\_AllowWithoutPlatformSCP.

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### 4.9 Manage access from multiple Linux processes to the A5000

The Plug & Trust Middleware provides the Access Manager to support concurrent access from multiple linux processes to the A5000 authenticator application. The Access Manager can establish a connection to the A5000 authenticator application either as a plain connection or using Platform SCP.

Client processes are connecting over the JRCPv1 protocol to the Access Manager.

Please refer to the Plug & Trust Middleware documentation chapter 5.4.3. Access Manager for more details.

### 5 A5000 secure provisioning

The IoT device identity should be unique, verifiable and trustworthy so that device registration attempts and any data uploaded to the OEM's servers can be trusted.

The A5000 is designed to provide a tamper-resistant platform to safely store keys and credentials needed for device authentication and registration to OEM's cloud service. Leveraging the A5000 security IC, OEMs can safely authenticate their devices without writing security code or exposing credentials or keys.

The following options are available for provisioning the EdgeLock A5000 security IC:

• EdgeLock 2GO Ready: Every EdgeLock A5000 product variant comes pre-provisioned with keys which can be used for all major use cases, including device-to-device authentication.

• EdgeLock 2GO Custom: NXP offers a customization service for injecting the credentials that you need during the A5000 IC manufacturing. Please contact NXP for more information on this service.

• EdgeLock 2GO Managed: NXP offers a cloud service for remotely configurating your A5000. EdgeLock 2GO Managed is a secure and flexible way for provisioning the keys and certificates required on your devices and to manage the lifecycle of your device credentials.

You can find more information and request an evaluation account at <u>www.nxp.com/</u> EdgeLock2GO .

• EdgeLock SE05x provisioning by OEMs, distributors or third-party partners: OEMs can provision EdgeLock A5000 on their own or select a distributor or third-party partner for provisioning the A5000.

### 6 References

- DS6676xx, A5000 EdgeLock Secure Authenticator Product data sheet. Available under: <u>https://www.nxp.com/docs/en/data-sheet/A5000-DATASHEET.pdf</u>
- AN12570, EdgeLock SE05x Quick start guide with Raspberry Pi. Available under: https://www.nxp.com/docs/en/application-note/AN12570.pdf

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# AN13500

EdgeLock A5000 Secure Authenticator for electronic anti-counterfeit protection using device-to-device authentication

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